



PATENT DOCKET NO. 3524/30 Application of BEN-LEVY, et al. Serial No. 09/943,442

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

BEN-LEVY, et al.

Serial No.:

09/943,442

Examiner:

Not Yet Assigned

Group Art Unit:

2164

Filing Date:

August 30, 2001

Title:

**COMPUTER TRADING OF FINANCIAL INTERESTS** 

Commissioner for Patents Washington, D.C. 20231

## SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant respectfully submits hereby a Second Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the Applicant's undersigned Attorney.

Each document listed was cited by the International Search Authority in a related PCT International Patent Application. The remaining references cited in the related Application have already been cited in this Application by Applicant.

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This IDS is being filed under 37 CFR 1.97(b), before the mailing date of a first Office Action on the merits, thus, no fee is due. The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, in any fee required herein, or at any point during the pendency of the application, to the undersigned attorney's Deposit Account No. 02-4270.

Dated: 4 MACH 7002

Respectfully Submitted,

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INFORMATION DISCLOSURE CITATION LIST			Atty. Doc	Appl	Application Serial No.: 09/943,442					
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		U	.S. PATEN	T DOCUMENTS						
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INTERNATIONAL SEARCH REPORT International a						pplication No.				
A. CLASSICIPATION OF SUBJECT MATTER						37				
IP US	C(7) S CL	ASSIFICATION OF SUBJECT MATTER : G06F 17/60 : 705/37								
B.	According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED									
Mini	Minimum documentation searched (classification system followed by classification symbols) U.S.: 705/1, 705/35, 705/37, 705/50, 705/51, 705/54									
Docu	tmenta	tion searched other than minimum documentation (	o the exten	t that such docu	ments are include	ed in the fields searched				
Electr Please	Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Continuation Sheet									
C.	DOC	UMENTS CONSIDERED TO BE RELEVANT								
Catego		Citation of document, with indication, where	nt passages	Relevant to claim No.						
Y		US 5,915,209 A (LAWRENCE) 22 June 1999 (22.06.99), abstract, figures 1, 4-8; column 5, lines 1-33; column 6, lines 6-33 and 48-53; column/line 7/62-8/29; column 9, lines 13-61; column 11, lines 3-38; column 12, lines 12-53; column/line 13/45-14/20; column 15, lines 60-67								
Y		US 5,809,483 A (BROKA et al.) 15 September 1; figures 2, 4, 5, 8-10, 12-15, 18(a)-19, 21(a)-(b; 58; column 4, lines 40-67; column/line 7/1-8/18; 11/25-12/8; column 14, lines 6-30; column 15, li column 20, lines 35-65; column 22, lines 16-65; 24/46-25/30	1-41							
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• ***	Further documents are listed in the continuation of Box C.  See patent family annex.									
"A" doc be o	ament d	efining the general state of the art which is not considered to plar relevance	°T°	priority date and	not in conflict with t	emational filing date or he application but cited to lerlying the invention				
"E" earli dzie	lier appli	cation or patent published on or after the international filing	<b>"X"</b>	considered novel	icular relevance; the o or cannot be consider coment is taken alone	claimed invention cannot be red to involve an inventive				
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		ferring to an oral disclosure, use, exhibition or other means	*&*	combination being	g obvious to a person	skilled in the art				
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Date of th	Date of the actual completion of the international search  Date of mailing of the international search report									
5 December 2001 (15.12.2001)			11 JAN 2002							

Authorized officer

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Telephone No. 703-305-3900

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15 December 2001 (15.12.2001) Name and mailing address of the ISA/US